

Certified Private Wealth Professional (CPWP) Module 2: Ethics and Compliance Training Programme Frequently Asked Questions

1. Do I have to be an HKIB member in order to enrol in the programme?

The programme is opened to public and you are not required to be an HKIB member. Nonetheless, you are encouraged to be our HKIB individual member.

2. Is there any qualification prerequisite for attending the programme?

There is no qualification prerequisite.

3. What is the medium of instruction in class?

The programme is conducted in Cantonese and supplemented with course materials in both Chinese and English. English training is available upon a request in advance.

4. Does the programme CPT/ CPD/ OPT eligible?

The programme is eligible for granting CPD hours to the following:

- HKIB CPD,
- SFC CPT, and
- PWMA OPT

5. Is there any document to prove that I have completed the training programme?

CPWP Module 2: Ethics and Compliance training programme consists of four parts. A Certificate of Attendance will be issued to learners after the completion of each part of the training programme within 5 working days after the completion date.

6. Is there any make-up class in case I cannot complete a particular class?

There is no make-up class arrangement if a learner fails to attend any parts of the training programme.

7. When is the deadline of programme enrolment?

Please refer to the programme flyer which is available on HKIB website.

8. How do I know if the class is cancelled / postponed eventually?

Participants who have settled payments will receive a training confirmation e-mail at least five working days before the training commences.

9. Are there any self-study materials available?

PPT decks will be provided to learners once the training programme is confirmed. Learners will also receive the Study Guide for CPWP Module 2: Ethics and Compliance if they enrol in the relevant examination for the programme.

Should you have any enquiries about the examination of CPWP Module 2, please refer to the Examination Handbook, contact us on 2153 7800, or email to exam@hkib.org.